



Docket No. SUN-P4176
(811173-000095)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#11

APPLICANT: Judith E. Schwabe

SERIAL NO.: 09/661,581

FILING DATE: September 14, 2000

TITLE: POPULATING RESOURCE-CONSTRAINED DEVICES WITH
CONTENT VERIFIED USING API DEFINITIONS

RECEIVED

EXAMINER: Chameli Das (Tel. No.: (703) 305-1339)

DEC 17 2003

(Fax No.: (703) 746-7239)

Technology Center 2100

ART UNIT: 2122

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class
Mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450, on the date
printed below:

Date:

12-10-03

Name:

Carol Diez

Carol Diez

COMMISSIONER FOR PATENTS
P.O. Box 1450, ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a
copy of each is attached, may be material to the examination of the above-identified
application and is, therefore, submitted in compliance with the duty of disclosure defined
in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of
official record in this application.

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This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This statement is filed pursuant to:

() 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; (3) before the mailing date of a first office action on the merits, or (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

(X) 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e).

() 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

- () No fee is due.
- (X) The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698.


III

Pursuant to 37 C.F.R. § 1.97(e) , I certify:

- (X) No certification is necessary.
- () (1) Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information statement; or
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Respectfully submitted,
THELEN REID & PRIEST LLP

Dated: December 10 2003



John P. Schaub
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Form PTO 1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. SUN-P4176	Serial No. 09/661,581
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Information Disclosure Statement by Applicant

Applicant:
Judith E. Schwabe

(Use several sheets if necessary)

Filed:
SUN-P4176

Group:
2122

U.S. Patent Documents

	Document No.	Date	Name	Class	Subclass	Filing Date
	5,701,408	12/23/97	Cornell et al.			
	5,761,510	6/2/98	Smith, Jr. et al.			
	6,058,393	5/2/00	Meier et al.			
	6,067,639	5/23/00	Rodrigues et al.			
	6,230,312	5/8/01	Hunt			
	6,272,674	8/7/01	Holiday, Jr.			
	6,370,686	4/9/02	Delo et al.			
	6,418,554	7/9/02	Delo et al.			
	6,519,767	2/11/03	Carter et al.			
	6,539,539	3/25/03	Larsen et al.			
	6,549,930	4/15/03	Chrysos et al.			

Foreign Documents

							Translation	
Init.	Document No.	Date	Country	Class	Subclass		Yes	No

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

	Bergin et al., "Java Resources for Computer Science Instruction", Report of the ITiCSE'98/ACTC'98 Working Group on Curricular Opportunities of Java Based Software Development, ITiCSE, pages 14-34 (1998)
	Bowles et al., "A Comparison of Commercial Reliability Prediction Programs", Proceedings Annual Reliability and Maintainability Symposium, IEEE, pages 450-455 (1990)
	Cooper, Richard, "Persistent Languages Facilitate the Implementation of Software Version Management", IEEE, pages 56-65 (1989)
	Ferscha et al., "Java Based Conservative Distributed Simulation", Proceedings of the 1997 Winter Simulation Conference, pages 381-388 (1997)
	Foster et al., "A Security Architecture for Computational Grids", Fifth ACM Conference on Computer & Communications Security, pages 83-92 (1998)
	Lindsay et al., "A Generic Model for Fine Grained Configuration Management Including Version Control and Traceability", Proceedings of the Australian Software Engineering Conference (ASWEC'97), IEEE Computer Society, pages 27-36 (1997)

Examiner

Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.